

# Licensing Key Indicators

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# History of Licensing Key Indicators (LKI)

- FIDCR Appropriateness Study 1979.
- Proposed for streamlining monitoring.
- Children's Services Monitoring Transfer Consortium (1980-1984).
- New York City, Pennsylvania, California, West Virginia, Michigan.
- Risk Assessment Rules (RAR) developed in 1985 to supplement LKI.
- Differential Monitoring proposed in 1985 but called Inferential Inspections.
- In 1993 RAR used to develop Stepping Stones to CFOC.
- In 2000 chapter in NARA Licensing Curriculum.
- In 2015 LKI used to help develop *Caring for Our Children Basics*.
- In 2015 NARA and RIKI enter into agreement for the dissemination of LKI.



# What are Licensing Key Indicator Rules

- Licensing Key Indicator rules are statistical predictor rules that predict overall compliance with total regulatory compliance.
- LKI rules differ from Risk Assessment Rules because Licensing Key Indicator rules are generally not risk averse as is the case with Risk Assessment Rules.
- Licensing Key Indicators form one of the legs of differential monitoring.
- It is best when Licensing Key Indicators and Risk Assessment Rules are used together and even better when they are used in conjunction with QKI – Quality Key Indicators.



# What We Know & What Still Needs to be Done

- Studies have clearly demonstrated that Licensing Key Indicator and Risk Assessment Rules through the use of differential monitoring is both an effective and efficient approach to making licensing decisions.
- Both Licensing Key Indicator and Risk Assessment Rules have been validated in Georgia, Washington, and the Province of Saskatchewan.
- States, Provinces, Jurisdictions need to continue to validate their differential monitoring, licensing key indicator rules, and risk assessment rules.



## For Additional Information:

- Go to NARA's web site to their Key Indicator Webpage:
- <https://nara.memberclicks.net/key-indicators>
- Here you will find several examples of reports produced on the development, implementation and validation of Licensing Key Indicators.
- You can also go to the following website for the theory and all the background research related to LKI:
- <http://RIKIInstitute.com>



# Thank you.

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